

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of 1

RECEIVED
MAR 10 2003
TECH CENTER 1600
2000
Complete if Known

Application Number 09/854,356

Filing Date May 9, 2001

First Named Inventor Cheever, Martin A., et. al.

Art Unit 1646

Examiner Name Misook Yu

Attorney Docket Number 014058-009811US

U.S. PATENT DOCUMENTS					
		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner	Cite No. ¹	Number Kind Code ² (if known)			
<i>my</i>	AA	US-2002/0155527 A1	10-24-2002	Stuart et al.	
	AB	US-6,015,567	01-18-2000	Hudziak et al.	
	AC	US-6,333,169 B1	12-25-2001	Hudziak et al.	
	AD	US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
<i>my</i>	AE	PCT	WO 96/18409 A1	06-20-1996			<input type="checkbox"/>
	AF	PCT	WO 01/74855 A2	10-11-2001			<input type="checkbox"/>
	AG	PCT	WO 99/46988 A1	09-23-1999			<input type="checkbox"/>
	AH	PCT	WO 91/02062 A2	02-21-1991			<input type="checkbox"/>
	AI	PCT	WO 90/14357 A1	11-29-1990			<input type="checkbox"/>
	AJ	PCT	WO 00/44899 A1	08-03-2000			<input type="checkbox"/>
	AK	EP	0 474 727 B1	07-23-1997			<input type="checkbox"/>
	AL	EP	0 444 181 B1	10-31-2001			<input type="checkbox"/>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²	
<i>my</i>	AM	DISIS, MARY L. et al., "Peptide-Based, but Not Whole Protein, Vaccines Elicit Immunity to HER-2/neu, an Oncogenic Self-Protein", <i>The Journal of Immunology</i> , 1996, pp. 3151-3158, Vol. 156, The American Association of Immunologists.					
	AN						
	AO						

Examiner Signature	<i>Misook Yu</i>	Date Considered	<i>12-05-03</i>
--------------------	------------------	-----------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 143587 v1

FORM PTO-1449 (Modified)		Attorney Docket No.: 14058-009810US		Application No.: 09/493,480		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Cheever, et al.				
		Filing Date: January 28, 2000		Group: 1642		
Reference Designation		U.S. PATENT DOCUMENTS				Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>AD</u>	6,015,567	1/18/00	Hudziak, et. al.			
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
<u>AE</u>	WO 90/14357 A1	11/29/90	PCT			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<u>AF</u>	Disis, et al., "Peptide-Based, but Not Whole Protein, Vaccines Elicit Immunity to HER-2/neu, an Oncogenic Self-Protein"; <i>The American Association of Immunologists</i> pp. 3151-3158 (1996)					
EXAMINER		DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JC872 U. S. PTO
09/854356
05/09/01



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.